

IAP12 Rec'd PCT/PTO 03 MAY 2006

Attorney Docket No.: 15412 NP

PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner:
Brass, Jack, et al.	:	
	)	
Application No.:	:	Art Unit:
Unassigned	)	
(National Phase Entry of	:	
PCT/CA2004/001904)	)	
	:	
Filed:	)	
1 November 2004	:	
	)	
For: SWIVEL ASSEMBLIES FOR	:	
CONDUITS CARRYING PRESSURIZED	)	
FLUIDS TO OR FROM AIR	:	
CONDITIONING OR	)	
REFRIDGERATION SYSTEMS	:	3 May 2006

Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56 and in accordance with the practice under 37 C.F.R. §§1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08A. In accordance with current practice, copies of the listed U.S. Patent Documents are not being provided.

FORMAL MATTERS

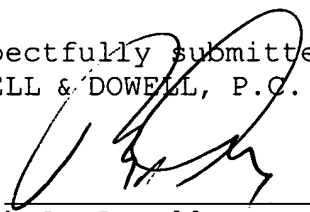
In accordance with 37 C.F.R. § 1.97(b)(3), since a first Official Action on the merits of the subject application has not yet been issued, neither a certificate, petition nor payment of a fee is believed to be required for this Information Disclosure Statement. However, the Commissioner is hereby authorized to charge any fee which may be required in connection with this paper to Deposit Account No.: 04-1577.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO/SB/08A be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached at their office by telephone at (703) 415-2555. All correspondence should continue to be directed to our address given below.

Respectfully submitted,  
DOWELL & DOWELL, P.C.

By:   
Ralph A. Dowell,  
Registration No. 26,868

Date: 5-3-06

DOWELL & DOWELL, P.C.  
Suite 406  
2111 Eisenhower Avenue  
Alexandria, VA 22314  
Telephone: (703) 415-2555

Facsimile: (703) 415-2559

10/578142

IAP12 Rec'd PCT/PTO 03 MAY 2006

PTO/SB/08A (07-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	
Filing Date	11-01-2004
First Named Inventor	Brass, Jack
Art Unit	
Examiner Name	
Attorney Docket Number	15412NP

Sheet 1

of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,604,543 B1	08-12-2003	Guo, Wen Li	
		US- 5,975,490	11-02-1999	Essman, John F.	
		US- 5,333,467	08-02-1994	Pearl II, D.S., et al.	
		US- 4,437,690	03-20-1984	Drath, Edwin H.	
		US- 6,752,433 B2	06-22-2004	Frost, Brian L.	
		US- 6,517,356 B1	02-11-2003	Smith, Philip E.	
		US- 3,736,986	06-05-1973	Magdars, John T.	
		US- 6,505,861 B2	01-14-2003	Butterfield, E.J., et al.	
		US- 5,538,296	07-23-1996	Horton, Duane	
		US- 4,615,547	10-07-1986	Sutcliffe, G.G., et al.	
		US- 5,275,444	01-04-1994	Wythoff, Johan G.	
		US- 4,239,262	12-16-1980	Krupp, C.P., et al.	
		US- 6,196,016 B1	03-06-2001	Knowles, S.M., et al.	
		US- 6,539,970 B1	04-01-2003	Knowles, S.M., et al.	
		US- 6,250,603 B1	06-26-2001	Knowles, S.M., et al.	
		US- 6,296,228 B1	10-02-2001	Knowles, S.M., et al.	
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.